

# Gauge theories and noncommutative manifolds

(work in progress)

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# NCG and gauge theories

Goal:

Understand the nature of gauge theories  
appearing in noncommutative geometry

Or, how does a noncommutative manifold give rise to a gauge theory?  
(At least) two possibilities:

- 1 Noncommutative algebra  $A$  describes (virtual) noncommutative background manifold on which to do gauge theory
- 2 Noncommutative algebra  $A$  describes gauge theory on a background manifold:

“noncommutative  $*$ -algebras have non-trivial inner automorphisms”

which seem incompatible...

## Examples of first (gauge theory on nc space)

- Yang–Mills theory **on noncommutative torus**: moduli space of minima [Connes–Rieffel 1987]
- Instantons **on noncommutative 4-manifolds** [Nekrasov–Schwarz 1999, ..., Brain–Landi–vS]
- **Noncommutative field theories** in physics [DFR 1995, SW 1999, ..., Grosse, Wulkenhaar, Steinacker, ...]

$$[x_\mu, x_\nu] = i\theta_{\mu\nu}$$

Mathematical setup:

- **Module**  $M$  over  $*$ -algebra  $A$
- **Connections**  $\nabla : M \rightarrow M \otimes_A \Omega^1(A)$  (gauge fields)
- Group  $\mathcal{U}(\text{End}_A(M))$  of **unitary endomorphisms** (gauge group)

N.B.: In general,  $\mathcal{U}(\text{End}_A(M))$  does not contain  $\mathcal{U}(A)$ .

## Examples of second (internal gauge theory)

- Noncommutative manifold describing (ordinary) **Yang–Mills theory** [Chamseddine–Connes 1996, Boeijink–vS 2009]

$$\text{Inn}(M_N(\mathbb{C})) \simeq \text{PSU}(N)$$

- Noncommutative description of **Standard Model** of elementary particles [Chamseddine–Connes–Marcolli 2006]

$$\text{Inn}(\mathbb{C} \oplus \mathbb{H} \oplus M_3(\mathbb{C})) \simeq G_{SM}$$

Mathematical setup:

- **K-cycles** (representative of K-homology class) aka **spectral triple** on  $A$
- ‘**Inner fluctuations**’ of  $K$ -cycle (gauge fields)
- Group  $\text{Inn}(A)$  of **inner automorphisms** (gauge group)

## Propose a common framework

- **Algebra**  $A$  and identify its center  $A_0 \simeq C(X)$ ;  $X$  is the background manifold.
- Find **Hilbert bundle**  $V$  over  $X$
- $A$  acts on  $\Gamma(V)$  by **\*-endomorphisms** (fiberwise)

$\implies$

$$\mathcal{U}(A) \hookrightarrow \mathcal{U}(\Gamma \text{End } V)$$

and similarly for (spin, Riemannian) differential structure given by  $K$ -cycle. Naturally, formulated in  **$KK$ -theory** [Kasparov, ... , BJ, Kucerovsky, Mesland]

**Evidence:**

- Example of Yang–Mills [Boeijink–vS 2009], Standard Model
- Need for ‘inner gauge transformations’ in search for instanton moduli space on nc spaces [Brain–Landi–vS]
- Emergent geometry from matrix models [Steinacker et al.]
- Explicit examples  $\mathbb{T}_\theta^2$ ,  $\mathbb{T}^2 \times \mathbb{T}_\theta^2$ ,  $\mathbb{S}_\theta^3$

## Noncommutative manifolds

Basic device: a **spectral triple**, or, equivalently, an unbounded  **$K$ -cycle**  $(\mathcal{H}, D)$  for a (unital)  $C^*$ -algebra  $A$  ( $\|a^*a\| = \|a\|^2$ ):

- algebra  $A$  of bounded operators on
- a Hilbert space  $\mathcal{H}$ ,
- a self-adjoint operator  $D$  with compact resolvent and such that the commutator  $[D, a]$  is bounded for all  $a \in \mathcal{A} \subset A$  (dense subalgebra).

### Example

Let  $M$  be a compact Riemannian spin manifold.

- $\mathcal{A} = C^\infty(M) \subset C(M) = A$
- $\mathcal{H} = L^2(\mathcal{S})$ , square integrable spinors
- $D = \not{D}$ , Dirac operator

Then  $D$  has **compact resolvent** because  $\not{D}$  elliptic self-adjoint.

Also  $[D, f]$  **bounded** for  $f \in C^\infty(M)$  with  $\|[D, f]\| = \|f\|_{\text{Lip}}$ .

## Noncommutative vector bundles

Consider a  $C^*$ -algebra  $B$ . Then, a **Hilbert  $B$ -module** is a right  $B$ -module  $E$  with a sesquilinear  $B$ -valued inner product  $\langle \cdot, \cdot \rangle : E \times E \rightarrow B$  such that

$$\langle \eta, \xi b \rangle = \langle \eta, \xi \rangle b$$

and  $\langle \eta, \eta \rangle \geq 0$  with equality if and only if  $\eta = 0$ ; and  $E$  is complete in the norm  $\eta \rightarrow \|\langle \eta, \eta \rangle\|_B^{1/2}$

### Example

If  $B = \mathbb{C}$  then  $E$  is a Hilbert space.

### Example

$B$  is itself a  $B$ -module, with  $\langle b, b' \rangle = b^* b'$ .

### Example

$B = C(M)$  for a Hausdorff topological space  $M$ . Then every **Hilbert module** is isomorphic to the sections  $\Gamma(M, V)$  of a **Hilbert bundle**  $V$  [Takahashi].

## KK-theory

These two extremes of (abstract) elliptic 1st-order differential operators and (noncommutative) 'Hilbert bundles' are combined in (unbounded) KK-theory.

### Definition

Let  $A$  and  $B$  be  $C^*$ -algebras. An (odd) *unbounded KK-cycle* is a triple  $(E, D)$  where

- $E$  is a *Hilbert- $B$ -module*, with  $\langle \cdot, \cdot \rangle : E \times E \rightarrow B$ ,
- $A \subset \text{End}_B(E)$ , and
- $D$  a *self-adjoint regular operator* in  $E$  such that  $(1 + D^2)^{-1}$  extends to an element of  $\text{End}_B^0(E)$  for all  $a \in A$ ,

and the set  $\mathcal{A}$  of all  $a \in A$  s.t.  $[D, a] \in \text{End}_B(E)$  is dense in  $A$ .

- The set of all unbounded KK-cycles is denoted by  $\Psi(A, B)$ ; if  $A$  is separable, there is a surjective map  $\Psi(A, B) \rightarrow KK(A, B)$ .
- A *spectral triple*  ${}_A(\mathcal{H}, D)$  is an element in  $\Psi(A, \mathbb{C})$  (K-homology).
- A *Hilbert  $B$ -module*  $E$  is the element  $(B, 0)$  in  $\Psi(\mathbb{C}, B)$  (K-theory).



## Examples

So, with  $C^*$ -algebras  $A, B$ , we look for

$$A \rightarrow E \rightrightarrows B$$

and a  $B$ -linear operator  $D$  (plus conditions).

### Example ( $\Psi(A, B)$ )

$A$  is Morita equivalent to  $B$  iff  $A \simeq \text{End}_B(E)$  for a finitely generated right  $B$  module. In this case,  $E$  is a Hilbert  $B$ -module on which  $A$  acts by bounded endomorphisms. Thus,  $(E, 0)$  defines a  $KK$ -cycle in  $\Psi(A, B)$ .

### Example ( $\Psi(C(M), C(Y))$ )

Families of (spin) manifolds indexed by a compact space: fibration  $M \rightarrow Y$  with fibers manifolds.

## Morita equivalence

Suppose  $A \sim_M B$ . Can we construct a **spectral triple on  $B$**  from  ${}_A(\mathcal{H}, D)$ ?

- Let  $\mathcal{B} \simeq \text{End}_{\mathcal{A}}(\mathcal{E})$  with  $\mathcal{E}$  finitely generated projective. Define

$$\mathcal{H}' = \mathcal{E} \otimes_{\mathcal{A}} \mathcal{H}$$

Then  $\mathcal{B}$  acts as bounded operators on  $\mathcal{H}'$ .

- Definition of operator  $D'(\eta, \psi) := \nabla(\eta)\psi + \eta \otimes D\psi$  requires a (compatible) **connection** on  $\mathcal{E}$ :

$$\nabla : \mathcal{E} \rightarrow \mathcal{E} \otimes_{\mathcal{A}} \Omega_D^1(\mathcal{A})$$

w.r.t. the derivation  $d := [D, \cdot]$  and **Connes' differential one-forms** are

$$\Omega_D^1(\mathcal{A}) = \left\{ \sum_j a_j [D, b_j] : a_j, b_j \in \mathcal{A} \right\}$$

- Then  ${}_B(\mathcal{H}', D')$  is a spectral triple [Connes, 1996]. In fact, this is a manifestation of the unbounded **internal Kasparov product**:

$$\Psi(B, A) \times \Psi(A, \mathbb{C}) \rightarrow \Psi(B, \mathbb{C})$$

with  $(\mathcal{E}, 0)$  representing a class in  $\Psi(B, A)$ .

## Morita self-equivalences as gauge fields

- In the case  $\mathcal{B} = \mathcal{A}$  and  $\mathcal{E} = \mathcal{A}$  we have of course  $\mathcal{E} \otimes_{\mathcal{A}} \mathcal{H} \simeq \mathcal{H}$ .
- However, the operator  $D$  is perturbed to  $D_{\omega} := D + \omega$  with  $\omega^* = \omega \in \Omega_D^1(\mathcal{A})$  the **connection one-form** (gauge field) in  $\nabla = d + \omega$ . These are the so-called **inner fluctuations**.
- The **(gauge) group**  $\mathcal{U}(A)$  of unitary elements in  $A$  acts on  $\mathcal{H}$ .
- This induces an **action of  $\mathcal{U}(A)$  on the connection one-form  $A$** , since  $D_{\omega} \mapsto uD_{\omega}u^*$  implies

$$\omega \mapsto u\omega u^* + u[D, u^*]$$

- **Spectral action principle:**

$$\text{Tr } f(D_{\omega}/\Lambda)$$

## Example: $PSU(N)$ Yang–Mills theory

Conventionally described by a  $PSU(N)$ -principal bundle  $P \rightarrow M$  with a connection; the gauge group is  $\Gamma(\text{Ad}P) = \Gamma(P \times_{PSU(N)} PSU(N))$ . Physics described by Yang–Mills action

$$\int_M \text{Tr}_{\mathfrak{su}(N)} F \wedge *F.$$

### The noncommutative approach:

Starting with a Riemannian spin manifold  $(L^2(M, \mathcal{S}), \not{D}) \in \Psi(C(M), \mathbb{C})$ , we generate a spectral triple (+reality) for a Morita equivalent algebra:

$$(L^2((\text{End } V) \otimes \mathcal{S}), 1 \otimes \not{D} + \nabla \otimes 1) \in \Psi(\Gamma(\text{End}(V)), \mathbb{C})$$

with  $V \rightarrow M$  a vector bundle equipped with a connection  $\nabla$ .

Proposition (Chamseddine-Connes (1996), Boeijink-vS (2009))

Given  $(L^2((\text{End } V) \otimes \mathcal{S}), 1 \otimes \not{D} + \nabla \otimes 1) \in \Psi(\Gamma(\text{End}(V), \mathbb{C}))$  as above. Then:

- There exists a  $PSU(N)$ -p.b.  $P$  such that  $P \times_{PSU(N)} M_n(\mathbb{C}) = \text{End } V$ .
- The *inner fluctuations*  $\omega$  of this spectral triple correspond 1-1 to *connections on  $P$* .
- The *gauge group*  $\text{Inn}(A) \simeq \Gamma(\text{Ad}P)$
- The *spectral action* is

$$\text{Tr } f(D_\omega/\Lambda) - \text{Tr } f(D/\Lambda) = \int_M \text{Tr}_{\mathfrak{su}(N)} F_\omega \wedge *F_\omega + \mathcal{O}(\Lambda^{-1})$$

Conversely, *every  $PSU(N)$ -gauge theory on  $M$  can be obtained in this way.*

## Relation to KK-theory

The ingredients for the above construction are:

$$\begin{aligned}(\Gamma(\text{End } V), 0) &\in \Psi(\Gamma(\text{End } V), C(M)) \\(L^2(M, S), \not{D}) &\in \Psi(C(M), \mathbb{C})\end{aligned}$$

so that the spectral triple

$$(L^2((\text{End } V) \otimes S), \not{D}_V) \in \Psi(\Gamma(\text{End } V), \mathbb{C})$$

is obtained as their (unbounded) internal **Kasparov product**:

$$\Psi(\Gamma(\text{End } V), C(M)) \times \Psi(C(M), \mathbb{C}) \rightarrow \Psi(\Gamma(\text{End } V), \mathbb{C}).$$

Moreover, the **inner fluctuations** of  $(L^2((\text{End } V) \otimes S), \not{D}_V)$  correspond to **connections** on  $\text{End } V$ , entering in the Kasparov internal product.

## Factorizing spectral triples (work in progress)

Main question: **what are the conditions on  ${}_A(\mathcal{H}, D)$  to factorize as**

$${}_A(\mathcal{H}, D) \simeq {}_A(E, T) \otimes_{A_0} (\mathcal{H}_0, D_0)$$

with  $A_0$  (contained in) the center of  $A$ , and

- $E$  is a Hilbert  $A_0$ -module such that  $\mathcal{H} \simeq E \hat{\otimes}_{A_0} \mathcal{H}_0$
- There is an action of  $a \in A$  on  $E$ , such that  $a \sim a \otimes \text{id}$ .
- $D \sim T \otimes 1 + \nabla \otimes 1 + 1 \otimes D_0$  with  $\nabla$  a connection on  $E$ .

In other words, we look for conditions on when the following Kasparov product

$$\Psi(A, A_0) \times \Psi(A_0, \mathbb{C}) \rightarrow \Psi(A, \mathbb{C}).$$

has an inverse.

If this holds, then  ${}_{A_0}(\mathcal{H}_0, D_0)$  describes a **background spin manifold**  $M$  [Connes, 2008], and  $E$  consists of sections of a **Hilbert bundle**  $V$  on  $M$  [Takahashi, 1979]. Moreover

- The **inner fluctuations** of  $(\mathcal{H}, \pi, D)$  are parametrized by **connections** on  $V$  and elements in  $\Gamma(\text{End } V)$ .
- The **gauge group**  $\mathcal{U}(A)$  acts on  $E$  by **unitary endomorphisms**.

## Examples: models in particle physics

Consider for a **finite dimensional algebra**  $A_F$ , acting on a **vector space**  $\mathcal{H}_F$ , and a **linear operator**  $D_F$  on  $\mathcal{H}_F$ :

$$(L^2((M \times \mathcal{H}_F) \otimes \mathcal{S}), D_F \otimes 1 + 1 \otimes \not{D}) \in \Psi(C(M, A_F), \mathbb{C})$$

It **factorizes** in terms of

$$(L^2(M, \mathcal{H}_F), D_F) \in \Psi(C(M, A_F), C(M))$$

$$(L^2(M, \mathcal{S}), \not{D}) \in (\Psi(C(M), \mathbb{C}))$$

Examples:

- Yang–Mills theory
- **Full Standard model** including Higgs mechanism [CCM]

$$A_F = \mathbb{C} \oplus \mathbb{H} \oplus M_3(\mathbb{C}), \quad \mathcal{H}_F = \mathbb{C}^{96}, \quad D_F = \dots$$

- **Supersymmetric models** [van den Broek], eg.

$$A_F = M_3(\mathbb{C}), \quad \mathcal{H}_F = \mathbb{C}^3 \oplus M_3(\mathbb{C}) \oplus \mathbb{C}^3, \quad D_F = \dots$$

- ...



## Example: Noncommutative torus

Explicitly,  $A_\theta$  (aka  $C(\mathbb{T}_\theta^2)$ ) is the  $C^*$ -algebra generated by  $U, V, U^*, V^*$  such that

$$U^*U = 1 = UU^*; \quad V^*V = 1 = VV^*; \quad UV = e^{2\pi i\theta} VU.$$

With  $\theta$  irrational, the center of  $A_\theta$  is trivial,  $A_{\theta,0} \simeq \mathbb{C}$ .

- The natural automorphic action of  $\mathbb{T}^2$  on  $A_\theta$  allows to define the **Hilbert space  $\mathcal{H}_\theta$  of square-integrable** (over  $\mathbb{T}^2$ ) 'functions' on  $\mathbb{T}_\theta^2$ .
- With infinitesimal generators  $\delta_1$  and  $\delta_2$  for this action of  $\mathbb{T}^2$ , we define a 'Dirac operator' on  $\mathcal{H}_\theta \otimes \mathbb{C}^2$  by

$$\not{D} = \sum_k i\gamma^k \delta_k = \begin{pmatrix} 0 & \delta_1 - i\delta_2 \\ -\delta_1 - i\delta_2 & 0 \end{pmatrix}$$

Then:

- $(\mathcal{H}_\theta \otimes \mathbb{C}^2, \not{D})$  defines an element in  $\Psi(A_\theta, \mathbb{C})$ , which **factorizes trivially** with background manifold **a point** (i.e.  $(\mathbb{C}, 0) \in \Psi(\mathbb{C}, \mathbb{C})$ ).
- The **Hilbert bundle** consists of the **single fiber  $\mathcal{H}_\theta \otimes \mathbb{C}^2$**  on which  $A_\theta$  acts by bounded operators.

## Example: Torus $\times$ noncommutative torus

Consider the algebra  $C(\mathbb{T}^2, A_\theta) \simeq C(\mathbb{T}^2) \otimes A_\theta$ , acting on the Hilbert space  $L^2(\mathbb{T}^2, \mathcal{H}_\theta) \otimes \mathbb{C}^2 \otimes \mathbb{C}^2$ . Then, together with the operator

$$\not{D}\psi(x) = (\gamma^k \otimes 1)(\partial_k \psi)(x) + (\gamma \otimes i\gamma^k)\delta_k(\psi(x))$$

with  $\gamma = i\gamma^1\gamma^2$  this forms a **spectral triple** on  $C(\mathbb{T}^2, A_\theta)$  (deforming the spin geometry of  $\mathbb{T}^4$ ).

### Proposition

- $C(\mathbb{T}^2, \mathcal{H}_\theta)$  is a **Hilbert module** over  $C(\mathbb{T}^2)$ .
- The  $C^*$ -algebra  $C(\mathbb{T}^2, A_\theta)$  acts by **bounded endomorphisms** on  $C(\mathbb{T}^2, \mathcal{H}_\theta)$
- The Hilbert space  $L^2(\mathbb{T}^2, \mathcal{H}_\theta)$  is isomorphic to  $C(\mathbb{T}^2, \mathcal{H}_\theta) \otimes_{C(\mathbb{T}^2)} L^2(\mathbb{T}^2)$ .

Moreover, with the above Dirac operator, we have a **factorization**:

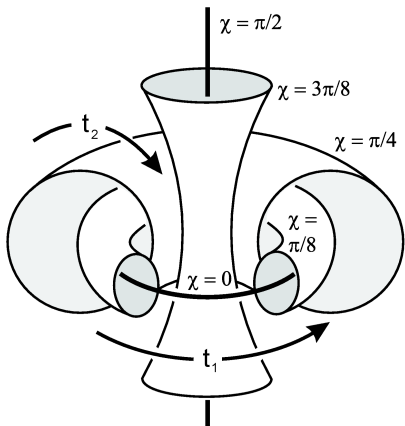
$$(L^2(\mathbb{T}^2, \mathcal{H}_\theta) \otimes \mathbb{C}^4, \not{D}) \simeq (C(\mathbb{T}^2, \mathcal{H}_\theta \otimes \mathbb{C}^2), i\gamma^k \delta_k) \otimes_{C(\mathbb{T}^2)} (L^2(\mathbb{T}^2) \otimes \mathbb{C}^2, i\gamma^k \partial_k).$$

## The noncommutative 3-sphere

Consider the **three-sphere**  $\mathbb{S}^3$  parametrized by toroidal coordinates

$$\alpha = e^{it_1} \cos \chi; \quad \beta = e^{it_2} \sin \chi$$

where  $0 \leq t_i < 2\pi$  parametrize a 2-torus and  $0 \leq \chi \leq \pi/2$ .



We will **deform the algebra**  $C(\mathbb{S}^3)$  along this torus action, by 'inserting' the noncommutative torus  $A_\theta$ :

$$C(\mathbb{S}_\theta^3) := C(\mathbb{S}^3 \times_{\mathbb{T}^2} A_\theta)$$

## Isospectral deformation of $\mathbb{S}^3$

More precisely,

$$C(\mathbb{S}_\theta^3) = C(\mathbb{S}^3 \times_{\mathbb{T}^2} A_\theta) := \{f \in C(\mathbb{S}^3, A_\theta) : f(t \cdot x) = t \cdot f(x) \forall t \in \mathbb{T}^2\}$$

which is generated as a  $C^*$ -algebra by  $\alpha, \beta$  with relations

$$\alpha \times_\theta \beta = e^{2\pi i \theta} \beta \times_\theta \alpha; \quad \alpha \times_\theta \beta^* = e^{-2\pi i \theta} \beta^* \times_\theta \alpha; \quad \alpha \times_\theta \alpha^* + \beta \times_\theta \beta^* = 1.$$

Proposition (Connes–Landi, 2000)

Let  $(L^2(\mathbb{S}^3) \otimes \mathbb{C}^2, \not{D}) \in \Psi(C(\mathbb{S}^3, \mathbb{C}))$  be the  $(\mathbb{T}^2$ -equivariant) spin geometry on  $\mathbb{S}^3$  for the round metric.

Then  $(L^2(\mathbb{S}^3 \times_{\mathbb{T}^2} \mathcal{H}_\theta) \otimes \mathbb{C}^2, \not{D})$  defines an element in  $\Psi(C(\mathbb{S}_\theta^3), \mathbb{C})$ .

Q: Does this spectral triple factorize?

Note that the **center** of  $C(\mathbb{S}_\theta^3)$  consists of  $\mathbb{T}^2$ -invariant elements, and is generated by  $\alpha\alpha^*$  and  $\beta\beta^*$ , i.e.  $C(\mathbb{S}_\theta^3)_0 \simeq C[0, \pi/2]$ .

## Proposition (vS)

Let  $\Gamma(\mathbb{S}_\theta^3) := C(\mathbb{S}^3 \times_{\mathbb{T}^2} \mathcal{H}_\theta)$ . Then,

- $\Gamma(\mathbb{S}_\theta^3)$  is a *Hilbert module* over  $C(\mathbb{S}_\theta^3)^{\mathbb{T}^2} \simeq C[0, \pi/2]$ .
- The  $C^*$ -algebra  $C(\mathbb{S}_\theta^3)$  acts by *bounded endomorphisms* on  $\Gamma(\mathbb{S}_\theta^3)$ .
- The Hilbert space  $L^2(\mathbb{S}_\theta^3)$  is isomorphic to  $\Gamma(\mathbb{S}_\theta^3) \widehat{\otimes}_{C[0, \pi/2]} L^2[0, \pi/2]$ .

Consider the *Dirac operator*  $\not{D}$  on  $L^2(\mathbb{S}^3) \otimes \mathbb{C}^2$ , which we can write explicitly

$$\not{D} = \cos \chi^{-1} \gamma^1 \partial_{t_1} + \sin \chi^{-1} \gamma^2 \partial_{t_2} + \gamma^3 (\partial_\chi + f(\chi))$$

On the *Hilbert bundle*  $\mathbb{S}^3 \times_{\mathbb{T}^2} \mathcal{H}_\theta \otimes \mathbb{C}^2$ , we introduce the fiberwise operator

$$D = \cos \chi^{-1} \gamma^1 \delta_1 + \sin \chi^{-1} \gamma^2 \delta_2$$

## Conjecture

The noncommutative spin geometry on  $\mathbb{S}_\theta^3$  factorizes:

$$(L^2(\mathbb{S}^3 \times_{\mathbb{T}^2} \mathcal{H}_\theta) \otimes \mathbb{C}^2, \not{D}) \simeq (\Gamma(\mathbb{S}_\theta^3), D) \otimes_{C[0, \pi/2]} (L^2[0, \pi/2], \partial_\chi).$$

i.e.  $\not{D} \sim D \otimes 1 + \gamma^3 \otimes_{\nabla} \partial_\chi$

## Outlook

- Noncommutative geometry is capable of describing (geometrically!) gauge theories such as Yang–Mills theory, the Standard Model incl. Higgs, SUSY, GUTs, *et cetera*, all coupled to gravity.
- However, this is at the level of **classical** Lagrangians.
- Ultimate goal is to (nc geometrically) understand quantization of these theories: the above **factorization of noncommutative manifolds** is a first step: factorizing in a gauge part and a background manifold part might allow for a (background dependent) quantization of the gauge theory.
- Other applications are in the study of instanton moduli spaces on nc spaces, where it turns out that  $\text{Inn}(A)$  is required as part of the gauge group [Brain–Landi–vS].