

III. Spectral action, expansions.

Consider (A, \mathcal{H}, D) , $\text{Pert}(A)$ acts to give $\sum_{j=0}^{\infty} a_j D^j = D + A$.
fin. summ. $A \in \Omega_0^1(A)$.

Spectral action functional

$$S_{\Lambda}[A] = \text{tr} f\left(\frac{D+A}{\Lambda}\right) \quad \Lambda > 0.$$

Maintains invariance under $U(A) \hookrightarrow \text{Pert}(A)$.

Also, there is a topological spectral action

$$S_{\text{top}}[A] = \text{tr} \gamma f\left(\frac{D+A}{\Lambda}\right)$$

We will assume throughout that f is a Laplace transform:

$$f(x) = \int_{t>0} g(t) e^{-tx^2} dt \quad \textcircled{*}$$

Prop. $S_{\text{top}}[A] = f(0) \text{index}(D+A)$

Proof McKean-Singer: $\text{tr} \gamma e^{-t(D+A)^2/\Lambda^2} = \text{index}(D+A)$

while $f(0) = \int g(t) dt$ \square

At least two interesting expansions: for $S_{\Lambda}[A]$:

- (Asymptotic) expansion in Λ ; tells us effective behavior for energies $\ll \Lambda$
- Taylor expansion in A ; tells about propagation, interactions

and their combination in physics applications

Asymptotic expansion in $\Lambda \rightarrow \infty$.

Suppose $\text{tr} e^{-t(D+A)^2} \sim \sum_{\alpha} c_{\alpha} t^{\alpha} \quad (t \gg 0)$

Prop (CM) $S_{\Lambda}[A] \sim \sum_{\beta \in \text{Sd}} f_{\beta} \Lambda^{\beta} \underset{z=\beta}{\text{res}} \text{tr} |D+A|^{-z} + f(0) \text{tr} |D+A|^{-z} \Big|_{z=0} + O(\Lambda^{-1})$

where $f_{\beta} = \int f(\nu) \nu^{\beta-1} d\nu$ $\underbrace{\hspace{10em}}_{\zeta_{D+A}(0)}$

Prop (CL, 2006) If (A, \mathcal{H}, ρ) fin. summable then

$$\zeta_{D+A}(0) - \zeta_D(0) = -f \log(1 + AD^{-1}) = \sum_{n \geq 1} \frac{(-1)^n}{n} f(AD^{-1})^n$$

where $f(\cdot) = \underset{z=0}{\text{res}} \text{tr}((\cdot) |D|^{-z})$.

Suppose $f AD^{-1} = 0$ $\forall A \in \Omega'_0(A)$. ^{least action principle: EOM for D.}

Then the quadratic form $Q(A, A) = f(AD^{-1})^2$
 is degenerate: $Q(A, [0, X]) = 0$. $\forall X \in A$.

Proof: Consider

$$f AD^{-1} [0, X] D^{-1} = -f A(D^{-1}, X)$$

$$= f [X, A] D^{-1} = 0$$

by assumption, since $[X, A] \in \Omega'_0(A)$. \square

Open problem: Can we write

$$f AD^{-1} AD^{-1} = \langle A, T(A) \rangle_{\Omega'_0(A)}$$

in terms of some (self-adj.?) operator T where $\langle A_1, A_2 \rangle = f A_1^* A_2 |D|^{-n}$?

Taylor expansion in A [Wol, JFA 2011, Shripa, JFA 2014, JOT 2018]

Prop. [Wol, 2011, Shripa, 2014...]

Suppose (A, H, D) finitely-summed and f a Laplace transform. (as $\lambda \otimes$) Then there is a Taylor expansion:

$$S_\lambda[A] = \sum_{n=0}^N \frac{1}{n!} f'(\lambda_{i_1}, \dots, \lambda_{i_n}) A_{i_1 i_2} \dots A_{i_n i_1} + R$$

where $A_{ij} = \langle \psi_i, A \psi_j \rangle$; $D \psi_i = \lambda_i \psi_i$ eigenbasis

and

$$\|R\| = O(\|A\|^{N+1})$$

Corl.

$$S_\lambda[A] = \sum_{n=0}^N \frac{1}{2\pi i n} \text{tr} \int f'(\lambda) \underbrace{A(\lambda-D)^{-1} \dots A(\lambda-D)^{-1}}_{n \text{ times}} + R$$

Again, there is a degeneracy:

$$\text{if } \sum A_{ij} f'(\lambda_j) = \text{tr}(A f'(D)) = 0 \quad \forall A \in \Omega'_0(A) \quad (*)$$

\hookrightarrow EOM for D .

then $Q(A, A) = \sum A_{ij} A_{ji} f'(\lambda_i, \lambda_j)$ is degenerate
in the sense that $Q(A, [D, X]) = 0 \quad \forall X \in \mathfrak{A}$.

Proof: Note that $[D, X]_{ij} = (\lambda_i - \lambda_j) X_{ij}$.

Then

$$\begin{aligned} Q(A, [D, X]) &= \sum A_{ij} X_{ji} f'(\lambda_i, \lambda_j) (A_{ij} - A_{ji}) \\ &= \sum A_{ij} X_{ji} (f(\lambda_i) - f(\lambda_j)) \\ &= \text{tr}(A, X) f'(0) = 0 \quad \text{by } (*) \end{aligned}$$

Open problem: Can we write

$$Q(A_1, A_2) = \langle A_1, T(A_2) \rangle_{\Omega'_0(A)}$$

for some operator T and inner product
on $\Omega'_0(A)$?

Examples of the spectral action:

- M Riem. spin^c mfd $\dim M = 4$

$$S_\Lambda \sim \int \mathcal{L}_M \sqrt{g} d^4x + O(\Lambda^{-1})$$

$$\mathcal{L}_M(g_{\mu\nu}) = \frac{f_4}{2\pi^2} \Lambda^4 - \frac{f_2}{24\pi^2} \Lambda^2 S_2 + f(\phi, \dots)$$

- $M \times F$ $F_i = (A_F, H_F, D_F)$

$$S_\Lambda[A] \sim \int \mathcal{L} \sqrt{g} d^4x + O(\Lambda^{-1})$$

Here $A = \gamma^\mu B_\mu + \gamma_5 \phi$ and

$$\mathcal{L} = N \mathcal{L}_M + \mathcal{L}_B[B_\mu] + \mathcal{L}_\phi$$

$$\mathcal{L}_B(B_\mu) = \frac{f(\phi)}{24\pi^2} \text{tr}(F_{\mu\nu} F^{\mu\nu})$$

$$\begin{aligned} \mathcal{L}_\phi[B_\mu, \phi, g_{\mu\nu}] = & -\frac{f_2}{2\pi^2} \Lambda^2 \text{tr} \phi^2 + \frac{f(\phi)}{8\pi^2} \text{tr} \phi^4 \\ & + \frac{f(\phi)}{48\pi^2} S_2 \text{tr} \phi^2 + \frac{f(\phi)}{8\pi^2} \text{tr} D_\mu \phi D^\mu \phi \end{aligned}$$

- NC torus ($n=4$) [Gaiotto-Fachin-Vasiliev '06]
[Essofari-Fachin-Levi-Sitara '08]
 $S_\Lambda[A] \sim 8\pi^2 \Lambda^4 f_4 - \frac{4\pi^2}{3} f(0) \tau(F_{\mu\nu} F^{\mu\nu}) + O(\Lambda^2)$

- Product geometry: $D = D_M \otimes 1 + \gamma \otimes D_S$
 $\gamma \in \mathbb{C} \otimes \mathbb{R}$, unimodular
 $\text{tr} h\left(\frac{D}{\Lambda^2}\right) \sim 2\Lambda \text{tr} k\left(\frac{D_M^2}{\Lambda^2}\right)$ as $\Lambda \rightarrow \infty$

where $k(x) = \int_x^\infty (u-x)^{-1/2} h(u) du$

Proof:

Take $h(x) = e^{-bx}$.

$$\Rightarrow \text{tr} e^{-b \frac{D^2}{\Lambda^2}} = 2 \text{tr} e^{-b \frac{D_M^2}{\Lambda^2}} \text{tr} e^{-b \frac{D_S^2}{\Lambda^2}}$$

But $\text{tr} e^{-b \frac{D_S^2}{\Lambda^2}} \sim \sqrt{\pi} \Lambda b^{-1/2} + O(\Lambda^{-k})$ thk

$$\Rightarrow \text{tr} e^{-b \frac{D^2}{\Lambda^2}} \sim 2\Lambda \text{tr} \left(\sqrt{\pi} b^{-1/2} e^{-b \frac{D_M^2}{\Lambda^2}} \right)$$

Now note that

$$\int_x^\infty (u-x)^{-1/2} e^{-bu} du = \sqrt{\pi} b^{-1/2} e^{-bx}$$

• Expansion in powers of A ^[ILV11] $D_M + \gamma A^m$

$$S_d[A] = \frac{\Lambda^{d-4}}{(4\pi)^{d/2}} \int d^d p \operatorname{tr} \hat{F}(p) \hat{w}_\Lambda(p^2) \hat{F}(p) + \mathcal{O}(F^3)$$

$$\hat{w}_\Lambda(p^2) \underset{p^2 \rightarrow \infty}{\sim} -2^{\frac{d}{2}+1} c_f \Lambda^4 p^{-4} + \mathcal{O}(p^{-4})$$

for f in a suitable class