Noncommutative spaces at finite resolution

Walter van Suijlekom

Spectral geometry: origins


H.A. Lorentz door Jan Veth

"Hierbei entseht das mathematische Problem, zu beweisen, dass die Anzahl der genügend hohen Obertöne zwischen n und $n + dn$ unabhängig von der Gestalt der Hülle und nur ihrem Volumen proportional ist."

"Here arises the mathematical problem of proving that the number of sufficiently high harmonics between n and $n + dn$ is independent of the shape of the envelope and proportional only to its volume."

Weyl's Law

$$N(\Lambda) = \#\text{wave numbers } \leq \Lambda$$
$$\sim \frac{\Omega_d \text{Vol}(M)}{d(2\pi)^d} \Lambda^d$$

Evidence by the parabolic shapes ($\sqrt{\Lambda}$):

triangle
square
disk
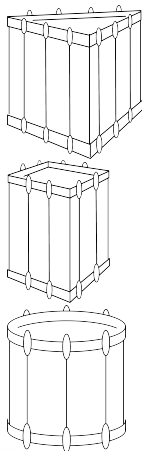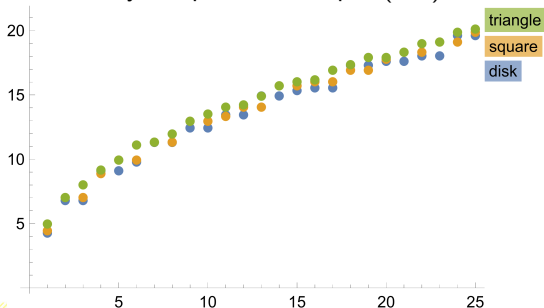
A spectral approach to geometry
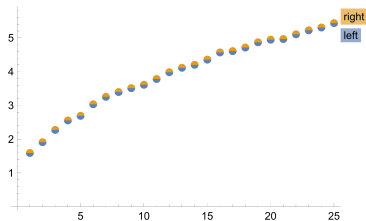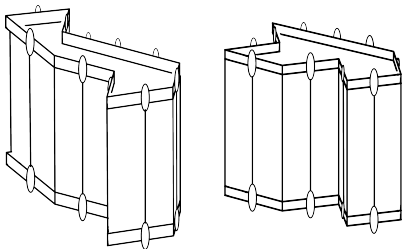
"Can one hear the shape of a drum?" (Kac, 1966)

Or, more precisely, given a Riemannian manifold M, does the spectrum of wave numbers k in the Helmholtz equation

$$\Delta_M u = k^2 u$$

determine the geometry of M?
Similarly, for a Riemannian spin manifold and Dirac operator D_M

Isospectral drums

so answer to Kac's question is no

Noncommutative geometry

If combined with the C^*-algebra $C(M)$, then the answer to Kac's question is affirmative.

Connes' reconstruction theorem [2008]:

$$(C(M), L^2(S_M), D_M) \longleftrightarrow (M, g)$$

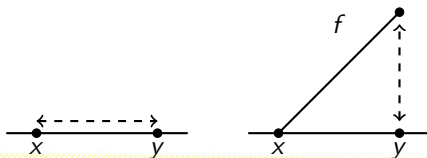# The spectral approach to geometry

Given cpt Riemannian spin manifold (M, g) with spinor bundle S_M on M.
- the C^*-algebra $C(M)$
- the self-adjoint Dirac operator D_M
- both acting on Hilbert space $L^2(S_M)$

$\rightsquigarrow$ spectral triple: $(C(M), L^2(S_M), D_M)$

Reconstruction of distance function [Connes 1994]:

$$d(x, y) = \sup_{f \in C(M)} \{|f(x) - f(y)| : \|[D_M, f]\| \leq 1\}$$

Spectral triples

More generally, we consider a triple $(A, \mathcal{H}, D)$
- a C^*-algebra A
- a self-adjoint operator D with compact resolvent and bounded commutators $[D, a]$ for $a \in \mathcal{A} \subset A$
- both acting (boundedly, resp. unboundedly) on Hilbert space $\mathcal{H}$

Generalized distance function:
- States are positive linear functionals $\phi : A \to \mathbb{C}$ of norm 1
- Pure states are extreme points of state space
- Distance function on state space of A:

$$d(\phi, \psi) = \sup_{a \in A} \left\{ |\phi(a) - \psi(a)| : \|[D, a]\| \leq 1 \right\}$$

Spectral data

- The mathematical reformulation of geometry in terms of spectral data requires the knowledge of all eigenvalues of the Dirac operator.
- From a physical standpoint this is not very realistic: detectors have limited energy ranges and resolution.

We develop the mathematical formalism for (noncommutative) geometry with only part of the spectrum and/or with finite resolution.

This is in line with [D'Andrea–Lizzi–Martinetti 2014], [Glaser–Stern 2019] and based on [Connes–vS] (CMP, Szeged)

Towards operator systems..

(I)   Given $(A, \mathcal{H}, D)$ we project onto part of the spectrum of D:

- $\mathcal{H} \mapsto P\mathcal{H}$, projection onto closed Hilbert subspace
- $D \mapsto PDP$, still a self-adjoint operator
- $A \mapsto PAP$, this is <u>not an algebra</u> any more (unless $P \in A$)

Instead, PAP is an operator system: $(PaP)^* = Pa^*P$.

(II)   Another approach would be to consider metric spaces up to a finite resolution :

- Consider integral operators associated to the tolerance relation R_ϵ given by $d(x, y) < \epsilon$

So first, some background on operator systems.

Operator systems

Definition (Choi-Effros 1977)
An operator system is a $*$-closed vector space E of bounded operators.
Unital: it contains the identity operator.

- E is ordered: cone $E_+ \subseteq E$ of positive operators, in the sense that $T \in E_+$ iff
$$\langle \psi, T\psi \rangle \geq 0; \qquad (\psi \in \mathcal{H}).$$

- in fact, E is matrix ordered: cones $M_n(E)_+ \subseteq M_n(E)$ of positive operators on $\mathcal{H}^n$ for any n.

Maps between operator systems E, F are completely positive maps in the sense that their extensions $M_n(E) \to M_n(F)$ are positive for all n.

Isomorphisms are complete order isomorphisms
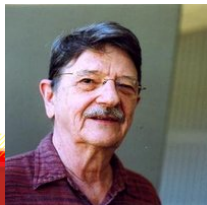
C^*-envelope of a unital operator system

Arveson introduced the notion of C^*-envelope for unital operator systems in 1969, Hamana established existence and uniqueness in 1979.
Non-unital case: [Connes–vS 2020], [Kennedy–Kim–Manor 2021]

A C^*-extension $\kappa : E \to A$ of a unital operator system E is given by a complete order isomorphism onto $\kappa(E) \subseteq A$ such that $C^*(\kappa(E)) = A$.

A C^*-envelope of a unital operator system is a C^*-extension $\kappa : E \to A$ with the following universal property:

$$
\begin{array}{ccc}
E & \xrightarrow{\;\kappa\;} & A \\
& \lambda \searrow & \big\uparrow{\exists! \rho} \\
& & B
\end{array}
$$

Shilov boundaries

There is a useful description of C^*-envelopes using Shilov ideals.

Definition
Let $\kappa : E \to A$ be a C^*-extension of an operator system. A boundary ideal is given by a closed 2-sided ideal $I \subseteq A$ such that the quotient map $q : A \to A/I$ is completely isometric on $\kappa(E) \subseteq A$.

The Shilov boundary ideal is the largest of such boundary ideals.

Proposition
Let $\kappa : E \to A$ be a C^*-extension. Then there exists a Shilov boundary ideal J and $C^*_{env}(E) \cong A/J$.

As an example consider the operator system of continuous harmonic functions $C_{\text{harm}}(\overline{\mathbb{D}})$ on the closed disc. Then by the maximum modulus principle the Shilov boundary is S^1. Accordingly, its C^*-envelope is $C(S^1)$.

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Propagation number of an operator system

One lets $E^{\circ n}$ be the norm closure of the linear span of products of $\leq n$ elements of E.

Definition
The propagation number prop(E) of E is defined as the smallest integer n such that $\kappa(E)^{\circ n} \subseteq C^*_{env}(E)$ is a C^*-algebra.

Returning to harmonic functions in the disk we have $\text{prop}(C_{\text{harm}}(\overline{\mathbb{D}})) = 1$.

Proposition
The propagation number is invariant under complete order isomorphisms, as well as under stable=Morita equivalence [EKT, 2019]:

$$prop(E) = prop(E \otimes_{min} \mathcal{K})$$

More generally [Koot, 2021], we have

$$prop(E \otimes_{min} F) = \max\{prop(E), prop(F)\}$$

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State spaces of operator systems

- The existence of a cone $E_+ \subseteq E$ of positive elements allows to speak of states on E as positive linear functionals of norm 1.
- In the finite-dimensional case, the dual E^d of a unital operator system is a unital operator system with

$$E^d_+ = \left\{ \phi \in E^d : \phi(T) \geq 0, \forall T \in E_+ \right\}$$

and similarly for the matrix order. (*cf.* recent work by Jia–Ng)
- Also, we have $(E^d)^d_+ \cong E_+$ as cones in $(E^d)^d \cong E$.
- It follows that we have the following useful correspondence:

   pure states on $E \longleftrightarrow$ extreme rays in $(E^d)_+$

   and the other way around.

Spectral truncation of the circle: Toeplitz matrices

- Eigenvectors of D_{S^1} are Fourier modes $e_k(t) = e^{ikt}$ for $k \in \mathbb{Z}$
- Orthogonal projection $P = P_n$ onto $\text{span}_{\mathbb{C}}\{e_1, e_2, \ldots, e_n\}$
- The space $C(S^1)^{(n)} := PC(S^1)P$ is an operator system
- Any $T = PfP$ in $C(S^1)^{(n)}$ can be written as a Toeplitz matrix

$$PfP \sim \left(t_{k-l}\right)_{kl} = \begin{pmatrix} t_0 & t_{-1} & \cdots & t_{-n+2} & t_{-n+1} \\ t_1 & t_0 & t_{-1} & & t_{-n+2} \\ \vdots & t_1 & t_0 & \ddots & \vdots \\ & & \ddots & \ddots & t_{-1} \\ t_{n-2} & & & & \\ t_{n-1} & t_{n-2} & \cdots & t_1 & t_0 \end{pmatrix}$$

We have: $C^*_{\text{env}}(C(S^1)^{(n)}) \cong M_n(\mathbb{C})$ and $\text{prop}(C(S^1)^{(n)}) = 2$ (for any n).

Dual operator system: Fejér–Riesz

We introduce the Fejér–Riesz operator system $C^*(\mathbb{Z})_{(n)}$:
- functions on S^1 with a finite number of non-zero Fourier coefficients:

$$a = (\ldots, 0, a_{-n+1}, a_{-n+2}, \ldots, a_{-1}, a_0, a_1, \ldots, a_{n-2}, a_{n-1}, 0, \ldots)$$

- an element a is positive iff $\sum_k a_k e^{ikx}$ is a positive function on S^1.
- The C^*-envelope of $C^*(\mathbb{Z})_{(n)}$ is given by $C^*(\mathbb{Z})$.

Proposition

1. *The extreme rays in $\left(C^*(\mathbb{Z})_{(n)}\right)_+$ are given by the elements $a = (a_k)$ for which the Laurent series $\sum_k a_k z^k$ has all its zeroes on S^1.*

2. *The pure states of $C^*(\mathbb{Z})_{(n)}$ are given by $a \mapsto \sum_k a_k \lambda^k$ $(\lambda \in S^1)$.*
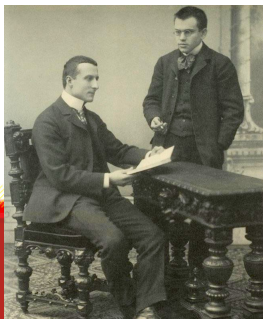
Pure states on the Toeplitz matrices

Duality of $C(S^1)^{(n)}$ and $C^*(\mathbb{Z})_{(n)}$ [Connes–vS 2020] and [Farenick 2021]:

$$C(S^1)^{(n)} \times C^*(\mathbb{Z})_{(n)} \to \mathbb{C}$$
$$(T = (t_{k-l})_{k,l}, a = (a_k)) \mapsto \sum_k a_k t_{-k}$$

Proposition

1. The *extreme rays* in $C(S^1)_+^{(n)}$ are $\gamma(\lambda) = |f_\lambda\rangle\langle f_\lambda|$ for any $\lambda \in S^1$.
2. The *pure state space* $\mathcal{P}(C(S^1)^{(n+1)}) \cong \mathbb{T}^n/S_n$.

Convergence to the circle

[vS 2021] and [Hekkelman, 2021] study the Gromov–Hausdorff convergence of the state spaces $\mathcal{S}(C(S^1)^{(n)})$ with the distance function d_n to the circle.

- The map $R_n : C(S^1) \to C(S^1)^{(n)}$ given by compression with P_n allows to pull-back states from $C(S^1)^{(n)}$ to the circle
- There is a C^1-approximate order inverse $S_n : C(S^1)^{(n)} \to C(S^1)$:

$$R_n(S_n(T)) = T_n \odot T; \qquad S_n(R_n(f)) = F_n * f$$

in terms of a Schur product with a matrix T_n and the convolution with the Fejér kernel F_n:
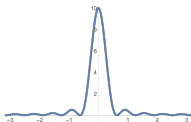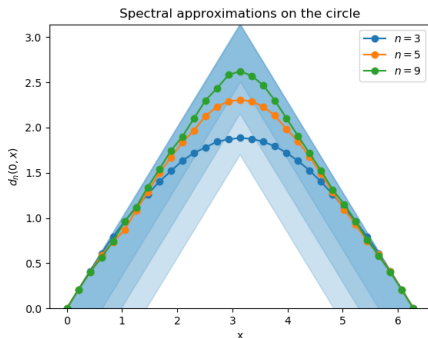
- The fact that S_n is a C^1-approximate inverse of R_n allows one to prove

$$d_{S^1}(\phi, \psi) - 2\gamma_n \leq d_n(\phi \circ S_n, \psi \circ S_n) \leq d_{S^1}(\phi, \psi)$$

where $\gamma_n \to 0$ as $n \to \infty$.
- Some (basic) Python simulations for point evaluation on S^1:

Gromov–Hausdorff convergence

Recall Gromov–Hausdorff distance between two metric spaces:

$$d_{\mathrm{GH}}(X, Y) = \inf\{d_H(f(X), g(Y)) \mid f : X \to Z, g : Y \to Z \text{ isometric}\}$$

and

$$d_H(X, Y) = \inf\{\epsilon \geq 0; X \subseteq Y_\epsilon, Y \subseteq X_\epsilon\}$$

- Using the maps R_n, S_n we can equip $\mathcal{S}(C(S^1)) \amalg \mathcal{S}(C(S^1)^{(n)})$ with a distance function that bridges the given distance functions on $\mathcal{S}(C(S^1))$ and $\mathcal{S}(C(S^1)^{(n)})$ within ϵ for large n.

Proposition (vS21, Hekkelman 2021)

The sequence of state spaces $\{(\mathcal{S}(C(S^1)^{(n)}), d_n)\}$ converges to $(\mathcal{S}(C(S^1)), d_{S^1})$ in Gromov–Hausdorff distance.

More general results on GH-convergence

Definition
Let $\{(\mathcal{E}_n, \mathcal{H}_n, D_n)\}_n$ be a sequence of operator system spectral triples and let $(\mathcal{E}, \mathcal{H}, D)$ be an operator system spectral triple. An C^1-approximate order isomorphism for this set of data is given by linear maps $R_n : E \to E_n$ and $S_n : E_n \to E$ for any n such that the following three condition hold:

1. *R_n, S_n are positive, unital, contractive and Lipschitz-contractive*

2. *there exist sequences γ_n, γ_n' both converging to zero such that*

$$\|S_n \circ R_n(a) - a\| \leq \gamma_n\|[D, a]\|,$$
$$\|R_n \circ S_n(h) - h\| \leq \gamma_n'\|[D_n, h]\|.$$

Examples: Toeplitz and Fejér–Riesz [vS 2021], cubic truncations of $\mathbb{T}^d$ [Berendschot 2019], fuzzy spheres [Rieffel 2000], quantum fuzzy spheres [Aguilar–Kaad–Kyed, 2021], Fourier truncations [Rieffel 2022], spectral truncations of tori [Leimbach 2022], . . .

Operator systems, groupoids and bonds

Definition (Connes-vS, 2021)

A bond is a triple (G, ν, Ω) consisting of a locally compact groupoid G, a Haar system $\nu = \{\nu_x\}$ and an open symmetric subset $\Omega \subseteq G$ containing the units $G^{(0)}$.

Proposition

Let (Ω, G, ν) be a bond. The closure of the subspace $C_c(\Omega) \subseteq C_c(G)$ in the C^*-algebra $C^*(G)$ is an operator system.

Example

1. *Consider $\Omega_n = (-n, n) \subset \mathbb{Z} \rightsquigarrow$ Fejér–Riesz operator system inside $C^*(\mathbb{Z})$.*

2. *Consider $\Omega_n = (-n, n) \subset C_m$ (so modulo m). The operator system consists of banded $m \times m$ circulant matrices of band width n.*

3. *Given the set $X = \{1, \ldots, m\}$ consider a "band" $R_n \subset X \times X$ around the diagonal of width $n \rightsquigarrow$ banded $m \times m$ matrices of band width n.*

Operator systems associated to tolerance relations

- Suppose that X is a set and consider a relation $\mathcal{R} \subseteq X \times X$ on X that is reflexive, symmetric but not necessarily transitive.
- Key motivating example: a metric space (X, d) with the relation

$$\mathcal{R}_\epsilon := \{(x, y) \in X \times X : d(x, y) < \epsilon\}$$

- If (X, μ) is a measure space and $\mathcal{R} \subseteq X \times X$ an open subset we obtain the operator system $E(\mathcal{R})$ as the closure of integral operator with support in $\mathcal{R}$. Note that $E(\mathcal{R}) \subseteq \mathcal{K}(L^2(X))$

Tolerance relations on finite sets [Gielen–vS, 2022]

Let X be a finite set and $\mathcal{R} \subseteq X \times X$ a symmetric reflexive relation on X and suppose that $\mathcal{R}$ generates the full equivalence class $X \times X$ (*i.e.* the graph corresponding to $\mathcal{R}$ is connected). Then

1. the C^*-envelope of $E(\mathcal{R})$ is $\mathcal{K}(\ell^2(X)) \cong M_{|X|}(\mathbb{C})$ and prop$(E(\mathcal{R})) = $ diam$(\mathcal{R})$.
2. If $\mathcal{R}$ is a chordal graph, then $E(\mathcal{R})^d \cong E(\mathcal{R})$ as a vector space, but with order structure given by being partially positive.
3. the pure states of $E(\mathcal{R})$ are given by vector states $|v\rangle\langle v|$ for which the support of $v \in \ell^2(X)$ is $\mathcal{R}$-connected.

Example

The operator systems of $p \times p$ band matrices with band width N.

1. *The propagation number of $\mathcal{E}_{p,N} \subseteq M_p(\mathbb{C})$ is equal to $\lceil p/N \rceil$.*
2. *The dual operator system consists of band matrices (with order given by partially positive).*

Spaces at finite resolution [Connes-vS, 2021]

Consider now a path metric measure space X with a measure of full support, and the following tolerance relation:

$$\mathcal{R}_\epsilon := \{(x, y) \in X \times X : d(x, y) < \epsilon\}$$

It gives rise to the operator system $E(\mathcal{R}_\epsilon) \subseteq \mathcal{K}(L^2(X))$.

Proposition

If X is a complete and locally compact path metric measure space X with a measure of full support, then

1. $C^*_{env}(E(\mathcal{R}_\epsilon)) = \mathcal{K}(L^2(X))$ *and* $\mathrm{prop}(E(\mathcal{R}_\epsilon)) = \lceil diam(X)/\epsilon \rceil$
2. *The pure states of $E(\mathcal{R}_\epsilon)$ are given by vector states $|\psi\rangle\langle\psi|$ where the essential support of $\psi \in L^2(X)$ is ϵ-connected.*

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Outlook

- Spectral truncations: tori, compact Lie groups, etc.
- Bonds in groupoids: approximate order unit, duality, etc.
- Metric structure on state spaces for spaces at finite resolution
- Gromov–Hausdorff convergence, entropy
- General theory of spectral triples for operator systems
- ...

Thanks!