

# Geometry emerging from spectra

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# Lorentz in October 1910



*H.A. Lorentz by Jan Veth*

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Origins of spectral geometry:

*the high overtones behave inversely proportional to the volume.*

## Weyl in February 1911

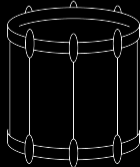
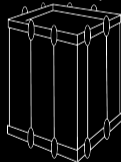
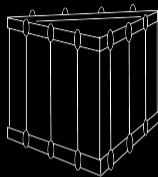
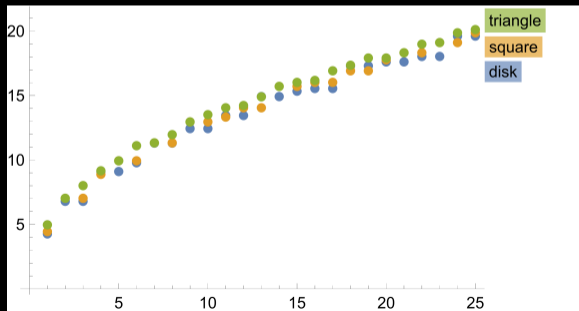
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$$\sim \frac{\Omega_d \text{Vol}(M)}{d(2\pi)^d} \Lambda^d$$

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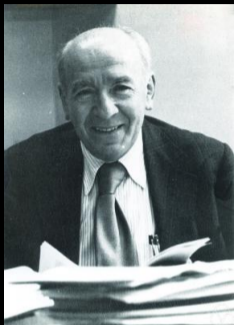
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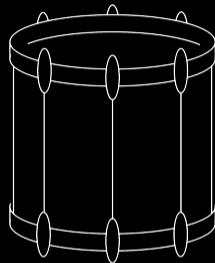
Evidence by the parabolic shapes ( $\sqrt{\Lambda}$ ):



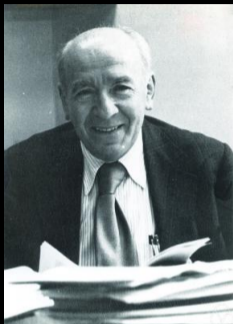
Mark Kac in 1966



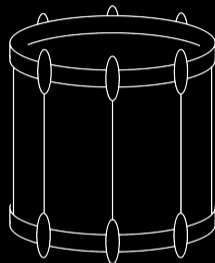
“Can one hear the shape of a drum?”



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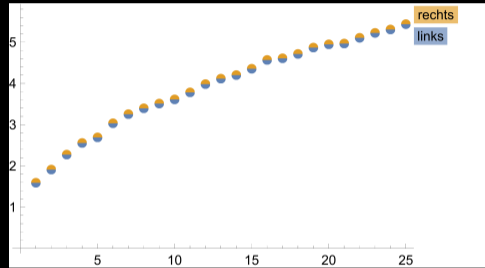
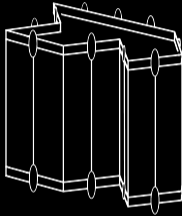
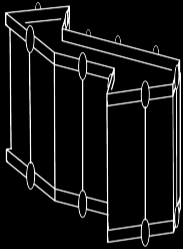


Or, more precisely, given a Riemannian manifold  $M$ , does the spectrum of wave numbers  $k$  in the Helmholtz equation

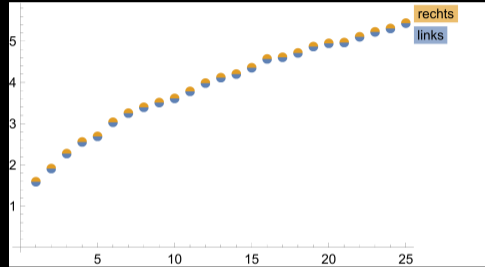
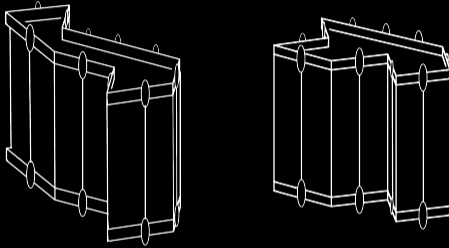
$$\Delta_M u = k^2 u$$

determine the geometry of  $M$ ?

# *Isospectral drums!*



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... so the answer to Kac's question is **no**  
and more information is needed...

# Spectral description of geometry: distance

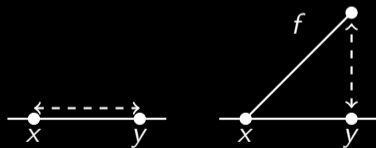
Noncommutative geometry (Alain Connes)

- ▶ Distance  $d(x, y)$  between two points is usually defined as *the **smallest** of the arclengths (computed using the metric) of curves connecting  $x$  and  $y$ .*

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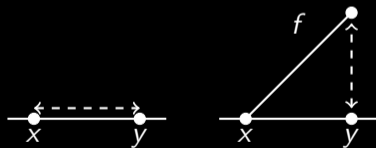


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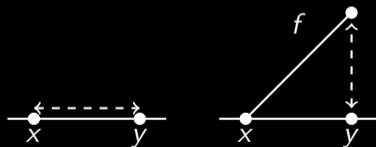


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Combination  $(C^\infty(M), L^2(S_M), D_M)$   
allows for reconstruction of geometry

# Analysis: Dirac operator

Recall that  $k^2$  is an eigenvalue of the Laplacian in the Helmholtz equation.

- ▶ The Dirac operator is a 'square-root' of the Laplacian, so that its spectrum give the wave numbers  $k$ .
- ▶ First found by Paul Dirac in flat space, but exists on any Riemannian spin manifold  $M$ .



## The circle

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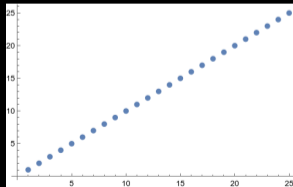
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- ▶ The eigenfunctions of  $D_{\mathbb{S}^1}$  in  $L^2(S^1)$  are the complex exponential functions

$$e^{int} = \cos nt + i \sin nt; \quad (n \in \mathbb{Z})$$



and  $[D_{\mathbb{S}^1}, f] = \frac{df}{dt}$ , a bounded operator on  $L^2(S^1)$  for smooth  $f$ .

## The 2-dimensional torus

- ▶ Consider the two-dimensional torus  $\mathbb{T}^2$  parametrized by two angles  $t_1, t_2 \in [0, 2\pi)$ .
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- ▶ It seems difficult to construct a differential operator that squares to  $\Delta_{\mathbb{T}^2}$ :

$$\left( a \frac{\partial}{\partial t_1} + b \frac{\partial}{\partial t_2} \right)^2 = a^2 \frac{\partial^2}{\partial t_1^2} + 2ab \frac{\partial^2}{\partial t_1 \partial t_2} + b^2 \frac{\partial^2}{\partial t_2^2}$$

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- ▶ This puzzle was solved by Dirac who considered complex *matrices*:

$$a = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}; \quad b = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$$

then  $a^2 = b^2 = -1$  and  $ab + ba = 0$

► The Dirac operator on the torus is

$$D_{\mathbb{T}^2} = \begin{pmatrix} 0 & \frac{\partial}{\partial t_1} + i \frac{\partial}{\partial t_2} \\ -\frac{\partial}{\partial t_1} + i \frac{\partial}{\partial t_2} & 0 \end{pmatrix},$$

which satisfies  $(D_{\mathbb{T}^2})^2 = -\frac{\partial^2}{\partial t_1^2} - \frac{\partial^2}{\partial t_2^2}$ .

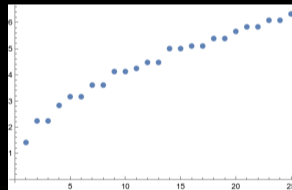
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- ▶ The spectrum of the Dirac operator  $D_{\mathbb{T}^2}$  is

$$\left\{ \pm \sqrt{n_1^2 + n_2^2} : n_1, n_2 \in \mathbb{Z} \right\};$$



and  $\|[D_{\mathbb{T}^2}, f]\| = \|f\|_{\text{Lip}}$ .

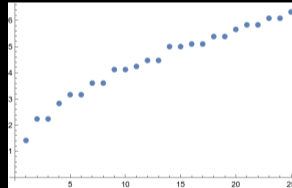
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More generally, a Dirac operator exists on spin manifolds as a differential operator acting in  $L^2(S_M)$  and square  $D_M^2 = \Delta_M + \frac{1}{4}\kappa$ .

Let's go noncommutative!

- ▶ Consider two unitaries  $U$  and  $V$ , satisfying the relation

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- ▶ Introduce derivations  $\delta_1, \delta_2$  on  $\mathcal{A}_\theta$  satisfying

$$\delta_1(U) = U; \quad \delta_1(V) = 0; \quad \delta_2(U) = 0; \quad \delta_2(V) = V.$$

and write

$$D_{\mathcal{A}_\theta} = \begin{pmatrix} 0 & \delta_1 + i\delta_2 \\ -\delta_1 + i\delta_2 & 0 \end{pmatrix}$$

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- ▶ The triple  $(\mathcal{A}_\theta, L^2(\mathcal{A}_\theta, \tau), D_{\mathcal{A}_\theta})$  describe the “smooth geometry” of the noncommutative torus [Connes, 1980].

# Spectral triples

More generally, we consider a triple  $(\mathcal{A}, \mathcal{H}, D)$

- ▶ a  $*$ -algebra  $\mathcal{A}$
- ▶ a self-adjoint operator  $D$  with compact resolvent and bounded commutators  $[D, a]$  for  $a \in \mathcal{A}$
- ▶ both acting (boundedly, resp. unboundedly) on Hilbert space  $\mathcal{H}$

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Generalized distance function:

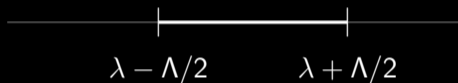
- ▶ States are positive linear functionals  $\phi : \mathcal{A} \rightarrow \mathbb{C}$  of norm 1
- ▶ Distance function on state space of  $\mathcal{A}$ :

$$d(\phi, \psi) = \sup_{a \in \mathcal{A}} \{ |\phi(a) - \psi(a)| : \|[D, a]\| \leq 1 \}$$

# Spectral truncations

joint with Connes

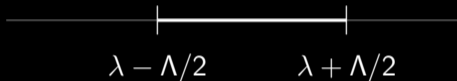
- ▶ More realistically, one should consider states on an **approximation** by projecting onto a frequency range around  $\lambda$  with bandwidth  $\Lambda$ :



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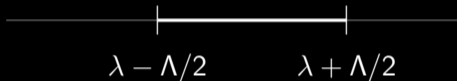
- ▶ Our distance function still makes sense for states on such spectral truncations  $P_\Lambda \mathcal{A} P_\Lambda$ :

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- ▶ Note:  $P_\Lambda \mathcal{A} P_\Lambda$  is in general not a  $*$ -algebra, but it is an **operator system**: there is an adjoint and a **cone**  $(P_\Lambda \mathcal{A} P_\Lambda)_+$  of positive elements.

## Example: spectral truncation of the circle

- ▶ Eigenvectors of  $D_{S^1}$  are **Fourier modes**  $e_k(t) = e^{ikt}$  for  $k \in \mathbb{Z}$
- ▶ **Orthogonal projection**  $P_n$  onto  $\text{span}_{\mathbb{C}}\{e_1, e_2, \dots, e_n\}$
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- ▶ Any such  $T = P_n f P_n$  can be written as a **Toeplitz matrix**

$$P_n f P_n \sim (t_{k-l})_{kl} = \begin{pmatrix} t_0 & t_{-1} & \cdots & t_{-n+2} & t_{-n+1} \\ t_1 & t_0 & t_{-1} & & t_{-n+2} \\ \vdots & t_1 & t_0 & \ddots & \vdots \\ & & \ddots & \ddots & t_{-1} \\ t_{n-2} & & & & t_{-1} \\ t_{n-1} & t_{n-2} & \cdots & t_1 & t_0 \end{pmatrix}$$

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- ▶ States are defined as unital positive linear functionals.

## Dual operator system: Fejér–Riesz

We introduce the Fejér–Riesz operator system  $C(S^1)_{(n)}$ :

- ▶ functions on  $S^1$  with a finite number of non-zero Fourier coefficients:

$$f(x) = \sum_{k=-n+1}^{n-1} a_k e^{ikx}$$

- ▶ such  $f$  is positive iff  $\sum_k a_k e^{ikx}$  is a positive function on  $S^1 \rightsquigarrow \text{cone}(C(S^1)_{(n)})_+$

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### Proposition

*The extreme rays in  $(C(S^1)_{(n)})_+$  are given by the elements  $a = (a_k)$  for which the Laurent series  $\sum_k a_k z^k$  has all its zeroes on  $S^1$ .*

# Pure states on the Toeplitz matrices

Duality of  $C(S^1)^{(n)}$  and  $C(S^1)_{(n)}$  [Connes-vS 2020] and [Farenick 2021]:

$$C(S^1)^{(n)} \times C(S^1)_{(n)} \rightarrow \mathbb{C}$$
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Proposition

*Pure states are given by vector states  $T \mapsto \langle \xi, T\xi \rangle$  where (up to normalization)*

$$\xi = (1 \quad \sum_k \lambda_k \quad \dots \quad \lambda_1 \cdots \lambda_{n-1})^t; \quad |\lambda_k| = 1.$$

*Consequently, the pure state space  $\mathcal{P}(C(S^1)^{(n+1)}) \cong \mathbb{T}^n / S_n$ .*



# Gromov–Hausdorff convergence

Recall Gromov–Hausdorff distance between two metric spaces:

$$d_{\text{GH}}(X, Y) = \inf\{d_H(f(X), g(Y)) \mid f : X \rightarrow Z, g : Y \rightarrow Z \text{ isometric}\}$$

and

$$d_H(X, Y) = \inf\{\epsilon \geq 0; X \subseteq Y_\epsilon, Y \subseteq X_\epsilon\}$$

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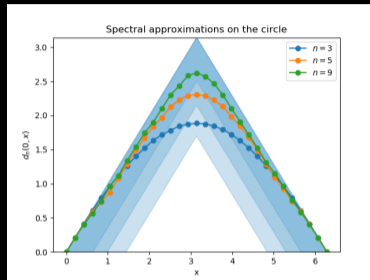
$$d_{\text{GH}}(X, Y) = \inf\{d_H(f(X), g(Y)) \mid f : X \rightarrow Z, g : Y \rightarrow Z \text{ isometric}\}$$

and

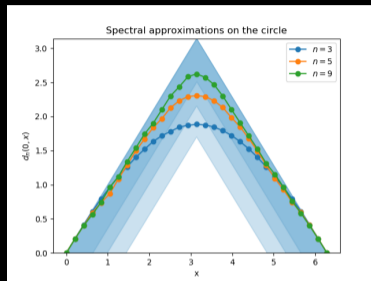
$$d_H(X, Y) = \inf\{\epsilon \geq 0; X \subseteq Y_\epsilon, Y \subseteq X_\epsilon\}$$

Rieffel extends this to quantum metric spaces (essentially operator systems equipped with a Lip-norm).

# Distance function for spectral truncations of the circle



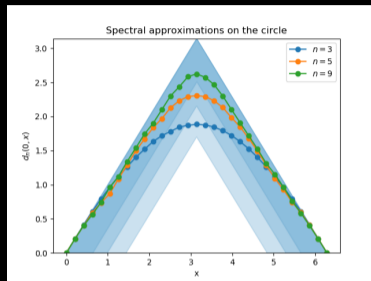
# Distance function for spectral truncations of the circle



Proposition (vS21, Hekkelman 2021)

*The sequence of state spaces  $\{(S(P_n C(S^1) P_n), d_n)\}$  converges to  $(S(C(S^1)), d_{S^1})$  in Gromov–Hausdorff distance.*

# Distance function for spectral truncations of the circle



Proposition (vS21, Hekkelman 2021)

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And more examples include (quantum) fuzzy spheres, Fourier truncations, truncations of tori (Leimbach–vS23, RU) ...