

**Second quantization of noncommutative spaces:  
emergence of the Standard Model and gravity**

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# A fermion in spacetime

Minimal ingredients to describe a free fermion:

- ▶ coordinates on spacetime  $M$ :

$$x_\mu \cdot x_\nu(p) = x_\mu(p)x_\nu(p), \text{ etc.},$$

- ▶ propagation, described by Dirac operator  $D_M = i\gamma^\mu \partial_\mu$

# Noncommutative geometry

- ▶ Combination of coordinate algebra and operators is central to the noncommutative approach [Connes 1994], in terms of spectral triples:

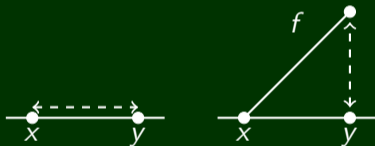
$$(\mathcal{A}, \mathcal{H}, D)$$

- ▶ The commutative case (Riemannian spin manifold  $M$ ):
  - ▶ the algebra  $C^\infty(M)$  of smooth functions on  $M$
  - ▶ the Dirac operator  $D_M$
  - ▶ both acting on Hilbert space  $L^2(S_M)$  of square-integrable spinors.
- ▶ The noncommutative case:
  - ▶ an algebra  $\mathcal{A}$
  - ▶ a (suitable) self-adjoint operator  $D$
  - ▶ both acting on a Hilbert space  $\mathcal{H}$

# Reconstruction of geometry

- ▶ Reconstruction of  $M$  in the commutative case [Connes 1989]:  
 $(C^\infty(M), L^2(\mathcal{S}_M), D_M)$ :

$$d(x, y) = \sup_f \{|f(x) - f(y)| : \text{gradient } f \leq 1\}$$



- ▶ The gradient of  $f$  is given by the (norm of the) commutator  
 $[D_M, f] = D_M f - f D_M$  (e.g.  $[D_{\mathbb{R}}, f] = -i \frac{df}{dt}$ )

# Emerging bosons

Our fermionic starting point induces a bosonic theory:

- ▶ “Inner perturbations” by the coordinates [C 1996, CCS 2013]:

$$D_M \rightsquigarrow D_M + \sum_j a_j [D_M, a'_j]$$

for functions  $a_j, a'_j$  depending on the coordinates  $x_\mu$ .

- ▶ Then,

$$\sum_j a_j [D_M, a'_j] = A^\nu \gamma^\mu (\partial_\mu x^\nu) = A^\mu \gamma_\mu$$

where  $A^\mu$  is the electromagnetic 4-potential describing the photon.

# Entering **noncommutativity**

Consider a finite space  $F$ , but with a *noncommutative* structure:

- ▶ Described by block diagonal matrices (“noncommutative coordinates”)

$$A = \begin{pmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \cdots & a_N \end{pmatrix},$$

where the  $a_1, a_2, \dots, a_N$  are square matrices of size  $n_1, n_2, \dots, n_N$ .

- ▶ Hence we will consider the matrix algebra

$$A_F := M_{n_1}(\mathbb{C}) \oplus M_{n_2}(\mathbb{C}) \oplus \cdots \oplus M_{n_N}(\mathbb{C})$$

where  $\mathbb{C}$  can be replaced by  $\mathbb{R}$  or  $\mathbb{H}$ .

- ▶ A finite Dirac operator is given by a hermitian matrix.

## Example: **commutative** two-point space

$$F = 1 \bullet \quad 2 \bullet$$

- ▶ Then the algebra of smooth functions

$$C^\infty(F) := \left\{ \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \mid \lambda_1, \lambda_2 \in \mathbb{C} \right\}$$

- ▶ A finite Dirac operator is given by

$$D_F = \begin{pmatrix} 0 & \bar{c} \\ c & 0 \end{pmatrix}; \quad (c \in \mathbb{C})$$

- ▶ The distance formula then becomes

$$d(1, 2) = \max \left\{ |\lambda_1 - \lambda_2| : \left\| \begin{pmatrix} 0 & \bar{c}(\lambda_2 - \lambda_1) \\ c(\lambda_1 - \lambda_2) & 0 \end{pmatrix} \right\| \leq 1 \right\} = \frac{1}{|c|}$$

## Example: noncommutative two-point space

Coordinates on  $F$  are elements in  $\mathbb{C} \oplus \mathbb{H}$

- ▶ A complex number  $z$
- ▶ A quaternion  $q = q_0 + iq_k\sigma^k$ ; in terms of Pauli matrices:

$$\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma^2 = \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}, \quad \sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

It describes a two-point space, with internal structure:



## Inner perturbations on nc two-point space

- ▶ 'Dirac operator'  $D_F = \begin{pmatrix} 0 & \bar{c} & 0 \\ c & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
- ▶ Inner perturbations:

$$D_F \rightsquigarrow D_F + \sum_j a_j [D_F, a'_j] = \begin{pmatrix} 0 & \bar{c}\bar{\phi}_1 & \bar{c}\bar{\phi}_2 \\ c\phi_1 & 0 & 0 \\ c\phi_2 & 0 & 0 \end{pmatrix}$$

- ▶ Distance between the two points is now  $1/\sqrt{|c\phi_1|^2 + |c\phi_2|^2}$ .
- ▶ We may call  $\phi_1$  and  $\phi_2$  the Higgs field.
- ▶ Indeed, the group of unitary block diagonal matrices is now  $U(1) \times SU(2)$  and an element  $(\lambda, u)$  therein acts as

$$\begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \mapsto \bar{\lambda} u \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}.$$

# Almost-commutative spacetimes

We now combine mild matrix noncommutativity with spacetime:

- ▶ coordinates of the almost-commutative spacetime  $M \times F$ :

$$\hat{x}^\mu(p) = (z^\mu(p), q^\mu(p))$$

as elements in  $\mathbb{C} \oplus \mathbb{H}$  (for each  $\mu$  and each point  $p$  of  $M$ )

- ▶ The combined Dirac operator becomes

$$D_{M \times F} = D_M + \gamma_5 D_F$$

Note that  $D_{M \times F}^2 = D_M^2 + D_F^2$ , which will be useful later on.

## Inner perturbations on $M \times F$

So, we describe  $M \times F$  by:

$$\hat{x}^\mu = (z^\mu, q^\mu); \quad D_{M \times F} = D_M + \gamma_5 D_F$$

As before, we consider inner perturbations of  $D_{M \times F}$  by  $\hat{x}^\mu(p)$ :

- ▶ The inner perturbations of  $D_F$  become scalar fields  $\phi_1, \phi_2$ .
- ▶ The inner perturbations of  $D_M$  become matrix-valued:

$$\sum_j a_j [D_M, a'_j] = a_\nu \gamma^\mu (\partial_\mu \hat{x}^\nu) =: A_\mu \gamma^\mu$$

with  $A_\mu$  taking values in  $\mathbb{C} \oplus \mathbb{H}$ :

$$A_\mu = \begin{pmatrix} B_\mu & 0 & 0 \\ 0 & W_\mu^3 & W_\mu^+ \\ 0 & W_\mu^- & -W_\mu^3 \end{pmatrix}$$

corresponding to hypercharge and the W-bosons.

# What do we have so far?

- ▶ A spectral triple  $(\mathcal{A}, \mathcal{H}, D)$  allows to write the Dirac equation for  $\psi \in \mathcal{H}$ :

$$D\psi = 0$$

- ▶ This describes the propagation of a single fermion (described by the 'wave function'  $\psi$ ): one-particle quantum physics.
- ▶ We keep this first quantization as a mystery, and will consider second quantization as a functor (free on Edward Nelson).
- ▶ Let us start with some basic theory on second quantization [Araki 1987, Carey 1987, GVF 2001]

## Clifford algebra: from $\mathcal{H} \rightarrow \text{Cl}(\mathcal{H}_{\mathbb{R}})$

- ▶ Given a Hilbert space  $\mathcal{H}$ , consider the real Euclidean vector space underlying it:  $V = \mathcal{H}_{\mathbb{R}}$ .
- ▶ In finite dimensions, we may define  $\text{Cl}(V) = \text{Cl}(V) \otimes_{\mathbb{R}} \mathbb{C}$  as the complexified Clifford algebra, generated by  $c(v)$  for any  $v \in V$  with relations:

$$c(v)c(w) + c(w)c(v) = 2\langle v, w \rangle$$

- ▶ In the infinite-dimensional case, we consider the algebraic Clifford algebra  $\text{Cl}^{\text{alg}}(V) = \cup \text{Cl}(V_f)$  with the union over all finite-dimensional subspaces  $V_f \subseteq V$ .
- ▶ This  $*$ -algebra is equipped with a trace  $\tau$  such that  $\tau(1) = 1$ , we define  $\text{Cl}(V)$  as the  $C^*$ -closure in  $B(H_{\tau})$  for the Hilbert space completion  $H_{\tau}$  of  $\text{Cl}^{\text{alg}}(V)$ .  
[Plymen–Robinson, 1994]

## $C^*$ -dynamical system and KMS-condition

- ▶ The self-adjoint operator  $D$  in  $\mathcal{H}$  is used as the generator of a one-parameter group of automorphisms of the Clifford algebra:

$$\sigma_t^D(c(v)) = c(e^{itD}v); \quad (v \in V_f)$$

- ▶ In short the KMS condition at inverse temperature  $\beta$  means that one has the formal equality

$$\varphi(a\sigma_t(b))|_{t=i\beta} = \varphi(ba) \quad a, b \in \mathcal{C}.$$

### Proposition

*For any  $\beta > 0$  there exists a unique  $KMS_\beta$  state  $\varphi_\beta$  on the  $C^*$ -dynamical system  $(\mathcal{C}l(\mathcal{H}_\mathbb{R}), \sigma_t^D)$ .*

### Proposition

*If the operator  $\exp(-\beta|D|)$  is of trace class, the state  $\varphi_\beta$  is of type I and the associated irreducible representation is given by the fermionic second quantization associated to the complex structure  $I := i \operatorname{sign} D$  on  $\mathcal{H}_\mathbb{R}$ .*

## Fermionic second quantization

- ▶ Equip  $\mathcal{H}_{\mathbb{R}}$  with complex structure, e.g.  $I = i \operatorname{sign} D \rightsquigarrow$  Dirac sea  $e^{ItD}$
- ▶  $\mathbb{C}l(\mathcal{H}_{\mathbb{R}})$  acts on the Fock space  $\bigwedge \mathcal{H}_I$  via

$$\gamma_I(v) = a_I^*(v) + a_I(v); \quad (v \in \mathcal{H}_{\mathbb{R}}).$$

Proposition (Chamseddine–Connes–vS, 2018)

(i) The one-parameter group  $\sigma_t^D$  is implemented in the (physical) Fock representation by the one-parameter unitary group  $\bigwedge \exp(it|D|)$ :

$$\gamma_I(\sigma_t^D(A)) = \bigwedge (e^{it|D|}) \gamma_I(A) \bigwedge (e^{-it|D|}) \quad A \in \mathbb{C}l(\mathcal{H}_{\mathbb{R}}).$$

(ii) If  $\exp(-\beta|D|)$  is of trace class the state  $\varphi_\beta$  is of type I and is given by

$$\varphi_\beta(A) = \mathcal{N}^{-1} \operatorname{Trace} \left( \bigwedge \exp(-\beta|D|) \gamma_I(A) \right) \quad A \in \mathbb{C}l(\mathcal{H}_{\mathbb{R}})$$

# Gibbs states and entropy

- ▶ We thus have a density matrix

$$\rho_\beta = \mathcal{N}^{-1} \cdot \bigwedge (e^{-\beta|D|})$$

- ▶ Note that this is the Gibbs state for a Fermi gas on the (noncommutative) space that is described by  $(\mathcal{A}, \mathcal{H}, D)$ .

Theorem (Chamseddine–Connes–vS, 2018)

*The (von Neumann) entropy,*

$$S(\rho_\beta) = - \text{Trace } \rho_\beta \log \rho_\beta,$$

*of the above Gibbs state  $\rho_\beta$  is given by a spectral action  $\text{Trace}_{\mathcal{H}} h(\beta D)$  for the function  $h(x) = \mathcal{E}(e^{-x})$  where  $\mathcal{E}(y)$  is the entropy of a partition of the unit interval in two intervals with size of ratio  $y$  (i.e. of size  $1/(1+y)$  and  $y/(1+y)$ ).*

## Analysis of the function $h$

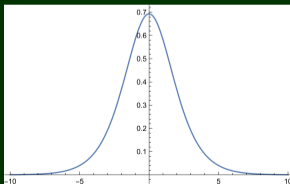
- ▶  $\mathcal{E}(y)$  is the entropy of a partition of the unit interval in two intervals with size of ratio  $y$ :

$$\mathcal{E}(y) = -\text{Trace } \rho_y \log \rho_y; \quad \rho_y = \begin{pmatrix} \frac{1}{1+y} & 0 \\ 0 & \frac{y}{1+y} \end{pmatrix}.$$

- ▶ We have  $\mathcal{E}(y) = \log(y + 1) - \frac{y \log y}{y+1}$

$$h(x) = \mathcal{E}(e^{-x}) = \frac{x}{1 + e^x} + \log(1 + e^{-x})$$

and this is applied to the spectrum of  $\beta D$ .



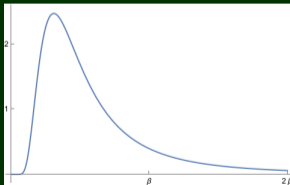
## Example: entropy of two-point space

$$F = \begin{matrix} 1 & \bullet & & 2 & \bullet \end{matrix}$$

- ▶ Distance  $r := d(1, 2) = 1/|c|$  in terms of  $D_F = \begin{pmatrix} 0 & \bar{c} \\ c & 0 \end{pmatrix}$ .
- ▶ For  $r \rightarrow 0$  we have  $S(\rho_\beta) = 0$ ;
- ▶ For  $r \rightarrow \infty$  we have maximum entropy  $S(\rho_\beta) = 2 \log 2$ ;

Entropic force  $F(r) = \beta^{-1} \partial_r S(\rho_\beta)$ ?

$$F(r) = \frac{\beta^3 / 2r^3}{\cosh^2(\beta/2r)}$$



# Laplace transform and heat expansion

Proposition (Chamseddine–Connes–vS, 2018)

*The function  $h$  is a Laplace transform:*

$$h(x) = \int_0^\infty g(t) e^{-tx^2}$$

*with*

$$g(t) = \frac{-1}{8\sqrt{\pi}t^{5/2}} \sum_{n \in \mathbb{Z}} (-1)^n n^2 q^{n^2}; \quad q = e^{-1/4t}.$$

This allows us to use heat asymptotics of  $e^{-t\beta^2 D^2}$  to determine  $\text{Trace } h(\beta D)$ .

# Asymptotic expansion of entropy

If  $\text{Trace } e^{-tD^2} \sim \sum_k t^k b_k$  then

$$S(\rho_\beta) = \text{Trace } h(\beta D) \sim \sum_k \beta^{2k} \gamma(k) b_k \quad \gamma(k) = \frac{1 - 2^{-2k}}{k} \pi^{-k} \xi(2k)$$

in terms of the Riemann  $\xi$ -function :

$$\xi(s) := \frac{1}{2} s(s-1) \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) \zeta(s)$$

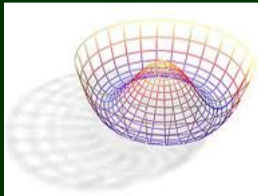
$\gamma(-1)$	$\gamma(-1/2)$	$\gamma(0)$	$\gamma(1/2)$	$\gamma(1)$	$\gamma(3/2)$
$\frac{9\zeta(3)}{2}$	$\frac{\pi^{3/2}}{3}$	$\log 2$	$\frac{1}{2\sqrt{\pi}}$	$\frac{1}{8}$	$\frac{7\zeta(3)}{8\pi^{5/2}}$

## Example: entropy of the electroweak theory

Use  $D_{M \times F}^2 = D_M^2 + D_F^2$  with noncommutative two-point space  $F = \mathbb{C} \oplus \mathbb{H}$  to compute (in 4d)

$$\begin{aligned} S(\rho_\beta) = \text{Trace } h(\beta D_{M \times F}) &\sim c_4 \beta^{-4} \text{Vol}(M) + c_2 \beta^{-2} \int R \sqrt{g} \\ &- c_0 \int C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma} + \int c'_0 \text{Trace } F_{\mu\nu} F^{\mu\nu} - c'_2 \beta^{-2} |\phi|^2 + c'_0 |\phi|^4 + \dots \end{aligned}$$

- ▶ (Higher-derivative) gravity
- ▶ The Yang–Mills term  $F_{\mu\nu} F^{\mu\nu}$  for hypercharge and  $W$ -boson
- ▶ The Higgs potential  $-\mu^2 |\phi|^2 + \lambda |\phi|^4$



# Beyond the SM with noncommutative geometry

[Chamseddine–Connes–vS, 2013, 2015]

- ▶ The matrix coordinates of the Standard Model in  $\mathbb{C} \oplus \mathbb{H} \oplus M_3(\mathbb{C})$  arise naturally as a restriction of the following coordinates

$$\hat{x}^\mu(p) = (q_R^\mu(p), q_L^\mu(p), m^\mu(p)) \in \mathbb{H}_R \oplus \mathbb{H}_L \oplus M_4(\mathbb{C})$$

corresponding to a Pati–Salam unification:

$$U(1)_Y \times SU(2)_L \times SU(3) \rightarrow SU(2)_R \times SU(2)_L \times SU(4)$$

- ▶ The 96 fermionic degrees of freedom are structured as

$$\left( \begin{array}{cc|cc} \nu_R & u_{iR} & \nu_L & u_{iL} \\ e_R & d_{iR} & e_L & d_{iL} \end{array} \right) \quad (i = 1, 2, 3)$$

- ▶ The finite Dirac operator is a  $96 \times 96$ -dimensional matrix containing Yukawa mass matrices, etc.

# Inner perturbations

- ▶ Inner perturbations of  $D_M$  now give three gauge bosons:

$$W_R^\mu, \quad W_L^\mu, \quad V^\mu$$

corresponding to  $SU(2)_R \times SU(2)_L \times SU(4)$ .

- ▶ For the inner perturbations of  $D_F$  we distinguish two cases, depending on the initial form of  $D_F$ :

- I The Standard Model  $D_F = \begin{pmatrix} S & T^* \\ T & \bar{S} \end{pmatrix}$

- II A more general  $D_F$  with zero  $\bar{f}_L - f_L$ -interactions.

# Scalar sector of the spectral Pati–Salam model

I For a SM  $D_F$ , the resulting scalar fields are composite fields, expressed in scalar fields whose representations are:

	$SU(2)_R$	$SU(2)_L$	$SU(4)$
$\phi_{\dot{a}}^b$	2	2	1
$\Delta_{\dot{a}l}$	2	1	4
$\Sigma_J^I$	1	1	15

II For a more general finite Dirac operator, we have fundamental scalar fields:

particle	$SU(2)_R$	$SU(2)_L$	$SU(4)$
$\Sigma_{\dot{a}J}^{bJ}$	2	2	1 + 15
$H_{\dot{a}l\dot{b}J}$ {	3	1	10
	1	1	6

# A dictionary and outlook

one-particle	second-quantized
$\mathcal{A}$	$\sigma_t^D \mapsto \sigma_t^{D_A}$
$\mathcal{H}$	$\text{Cl}(\mathcal{H}_{\mathbb{R}})$
$D$	$\{\sigma_t^D\}_t$ arising from $e^{itD}$
spectral action	entropy of KMS

- ▶ Geometric significance of this entropy
- ▶ Extension to type II?
- ▶ Quantization of inner perturbations